

# Simple Syntax Extensions

(labels, local mutable variables)

## Functions and Function calls

### Proving Termination

## More on Specification Languages and Application to Arrays

Claude Marché

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## Reminder of the last lecture

- ▶ Logics and automated prover capabilities
  - ▶ propositional logic
  - ▶ first-order logic
  - ▶ theories: equality, integer arithmetic
- ▶ classical Floyd-Hoare logic
  - ▶ very simple “IMP” programming language
  - ▶ deduction rules for triples  $\{Pre\}s\{Post\}$
- ▶ weakest liberal pre-conditions (Dijkstra)
  - ▶ function  $WLP(s, Q)$  returning a logic formula
  - ▶ soundness: if  $P \rightarrow WLP(s, Q)$  then triple  $\{P\}s\{Q\}$  is valid
- ▶ main “creative” activity: *discovering loop invariants*

## Reminder of the last lecture (continued)

- ▶ Modern programming language, ML-like
  - ▶ more data types: int, bool, real, unit
  - ▶ *logic variables*: local and **immutable**
  - ▶ statement = expression of type unit
  - ▶ Typing rules
  - ▶ Formal operational semantics (small steps)
  - ▶ *type soundness*: every typed program executes without blocking
- ▶ *Blocking semantics* and *Weakest Preconditions*:
  - ▶  $e$  *executes safely* in  $\Sigma, \pi$  if it does not block on an assertion or a loop invariant
  - ▶ If  $\Sigma, \pi \models \text{WP}(e, Q)$  then  $e$  executes safely in  $\Sigma, \pi$ , and if it terminates then  $Q$  valid in the final state
- ▶ Exercices

## Exercise 1

Consider the following (inefficient) program for computing the sum  $a + b$

```
x <- a; y <- b;  
while y > 0 do  
  x <- x + 1; y <- y - 1
```

(Why3 file to fill in: `imp_sum.mlw`)

- ▶ Propose a post-condition stating that the final value of  $x$  is the sum of the values of  $a$  and  $b$
- ▶ Find an appropriate loop invariant
- ▶ Prove the program

## Exercise 2

The following program is one of the original examples of Floyd

```
q <- 0; r <- x;
while r >= y do
  r <- r - y; q <- q + 1
```

(Why3 file to fill in: [imp\\_euclidean\\_div.mlw](#))

- ▶ Propose a formal precondition to express that  $x$  is assumed non-negative,  $y$  is assumed positive, and a formal post-condition expressing that  $q$  and  $r$  are respectively the quotient and the remainder of the Euclidean division of  $x$  by  $y$
- ▶ Find appropriate loop invariants and prove the correctness of the program

# This Lecture's Goals

- ▶ Extend that language:
  - ▶ Labels for reasoning on the past, local mutable variables
  - ▶ Sub-programs, *function calls*, *modular reasoning*
  - ▶ Limitations of modular reasoning: subcontract weaknesses, non-inductive invariants
- ▶ Analyzing *Termination*
  - ▶ prove termination when wanted
- ▶ (First-order) logic as a *modeling language*
  - ▶ Definitions of new types, product types
  - ▶ Definitions of functions, of predicates
  - ▶ Axiomatizations
- ▶ Application:
  - ▶ a bit of higher-order logic
  - ▶ program on *Arrays*

# Outline

## Syntax extensions

- Labels

- Local Mutable Variables

- Functions and Functions Calls

Termination, Variants

Advanced Modeling of Programs

Programs on Arrays

## Labels: motivation

Ability to refer to past values of variables

```
{ true }  
let v = r in (r <- v + 42; v)  
{ r = r@old + 42 /\ result = r@old }
```

```
{ true }  
let tmp = x in x <- y; y <- tmp  
{ x = y@old /\ y = x@old }
```

SUM revisited:

```
{ y >= 0 }  
L:  
while y > 0 do  
  invariant { x + y = x@L + y@L }  
  x <- x + 1; y <- y - 1  
{ x = x@old + y@old /\ y = 0 }
```



# Labels: Syntax and Typing

Add in syntax of *terms*:

$t ::= x@L$  (labeled variable access)

Add in syntax of *expressions*:

$e ::= L : e$  (labeled expressions)

Typing:

- ▶ only mutable variables can be accessed through a label
- ▶ labels must be declared before use

Implicitly declared labels:

- ▶ *Here*, available in every formula
- ▶ *Old*, available everywhere except pre-conditions

# Labels: Operational Semantics

Program state

- ▶ becomes a collection of maps indexed by labels
- ▶ value of variable  $x$  at label  $L$  is denoted  $\Sigma(x, L)$

New semantics of variables in terms:

$$\begin{aligned} \llbracket x \rrbracket_{\Sigma, \pi} &= \Sigma(x, \text{Here}) \\ \llbracket x@L \rrbracket_{\Sigma, \pi} &= \Sigma(x, L) \end{aligned}$$

The operational semantics of expressions is modified as follows

$$\begin{aligned} \Sigma, \pi, x \leftarrow \text{val} &\rightsquigarrow \Sigma\{(x, \text{Here}) \leftarrow \text{val}\}, \pi, () \\ \Sigma, \pi, L : e &\rightsquigarrow \Sigma\{(x, L) \leftarrow \Sigma(x, \text{Here}) \mid x \text{ any variable}\}, \pi, e \end{aligned}$$

Syntactic sugar: term  $t@L$

- ▶ attach label  $L$  to any variable of  $t$  that does not have an explicit label yet
- ▶ example:  $(x + y@K + 2)@L + x$  is  $x@L + y@K + 2 + x@Here$

## New rules for WP

New rules for computing WP:

$$\text{WP}(x \leftarrow t, Q) = Q[x@Here \leftarrow t@Here]$$

$$\text{WP}(L : e, Q) = \text{WP}(e, Q)[x@L \leftarrow x@Here \mid x \text{ any variable}]$$

Exercise:

$$\text{WP}(L : x \leftarrow x + 42, x@Here > x@L) = ?$$

## Example: computation of the GCD

(assuming notion of greatest common divisor exists in the logic)

Euclid's algorithm:

```
requires { x >= 0 /\ y >= 0 }  
ensures { result = gcd(x@old,y@old) }  
= L:  
while y > 0 do  
  invariant { ? }  
  let r = mod x y in x <- y; y <- r  
done;  
x
```

See file [gcd\\_euclid\\_labels.mlw](#)

## Mutable Local Variables

We extend the syntax of expressions with

$$e ::= \text{let ref } id = e \text{ in } e$$

(note: I use “ref” instead of “mut” because of Why3)

Example: isqrt revisited

```
val ref x : int
val ref res : int

res <- 0;
let ref sum = 1 in
while sum <= x do
  res <- res + 1; sum <- sum + 2 * res + 1
done
```

# Operational Semantics

$$\Sigma, \pi, e \rightsquigarrow \Sigma', \pi', e'$$

$\pi$  no longer contains just immutable variables

$$\frac{\Sigma, \pi, e_1 \rightsquigarrow \Sigma', \pi', e'_1}{\Sigma, \pi, \text{let ref } x = e_1 \text{ in } e_2 \rightsquigarrow \Sigma', \pi', \text{let ref } x = e'_1 \text{ in } e_2}$$

$$\frac{}{\Sigma, \pi, \text{let ref } x = v \text{ in } e \rightsquigarrow \Sigma, \pi \{(x, \text{Here}) \leftarrow v\}, e}$$

# Operational Semantics

$$\Sigma, \pi, e \rightsquigarrow \Sigma', \pi', e'$$

$\pi$  no longer contains just immutable variables

$$\frac{\Sigma, \pi, e_1 \rightsquigarrow \Sigma', \pi', e'_1}{\Sigma, \pi, \text{let ref } x = e_1 \text{ in } e_2 \rightsquigarrow \Sigma', \pi', \text{let ref } x = e'_1 \text{ in } e_2}$$

$$\frac{}{\Sigma, \pi, \text{let ref } x = v \text{ in } e \rightsquigarrow \Sigma, \pi \{(x, \text{Here}) \leftarrow v\}, e}$$

$$\frac{x \text{ local variable}}{\Sigma, \pi, x \leftarrow v \rightsquigarrow \Sigma, \pi \{(x, \text{Here}) \leftarrow v\}, e}$$

## Mutable Local Variables: WP rules

Rules are exactly the same as for global variables

$$\text{WP}(\text{let ref } x = e_1 \text{ in } e_2, Q) = \text{WP}(e_1, \text{WP}(e_2, Q)[x \leftarrow \text{result}])$$

$$\text{WP}(x \leftarrow e, Q) = \text{WP}(e, Q[x \leftarrow \text{result}])$$

$$\text{WP}(L : e, Q) = \text{WP}(e, Q)[x@L \leftarrow x@Here \mid x \text{ any variable}]$$



# Functions

Program structure:

*prog* ::= *decl*\*

*decl* ::= *vardecl* | *fundecl*

*vardecl* ::= **val ref** *id* : *basetype*

# Functions

Program structure:

*prog* ::= *decl*\*

*decl* ::= *vardecl* | *fundecl*

*vardecl* ::= **val ref** *id* : *basetype*

*fundecl* ::= **let** *id*( (*param*,)\* ):*basetype*  
                  **contract** **body** *e*

*param* ::= *id* : *basetype*

*contract* ::= **requires** *t* **writes** (*id*,)\* **ensures** *t*

# Functions

Program structure:

$$\begin{aligned} \text{prog} & ::= \text{decl}^* \\ \text{decl} & ::= \text{vardecl} \mid \text{fundecl} \\ \text{vardecl} & ::= \text{val ref } id : \text{basetype} \\ \text{fundecl} & ::= \text{let } id( (param,)^* ): \text{basetype} \\ & \quad \text{contract } \text{body } e \\ \text{param} & ::= id : \text{basetype} \\ \text{contract} & ::= \text{requires } t \text{ writes } (id,)^* \text{ ensures } t \end{aligned}$$

Function definition:

- ▶ Contract:
  - ▶ pre-condition
  - ▶ post-condition (label *Old* available)
  - ▶ assigned variables: clause *writes*
- ▶ Body: expression

## Example: isqrt

```
let isqrt(x:int): int
  requires x >= 0
  ensures result >= 0 /\
           sqr(result) <= x < sqr(result + 1)
body
  let ref res = 0 in
  let ref sum = 1 in
  while sum <= x do
    res <- res + 1;
    sum <- sum + 2 * res + 1
  done;
  res
```

## Example using *Old* label

```
val ref res: int

let incr(x:int)
  requires true
  writes res
  ensures res = res@Old + x
body
  res <- res + x
```

# Typing

Definition  $d$  of function  $f$ :

let  $f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau$

requires  $Pre$

writes  $\vec{w}$

ensures  $Post$

body  $Body$

Well-formed definitions:

$$\frac{\begin{array}{l} \Gamma' = \{x_i : \tau_i \mid 1 \leq i \leq n\} \cdot \Gamma \\ \Gamma' \vdash Pre, Post : formula \\ \vec{w}_g \subseteq \vec{w} \text{ for each call } g \end{array} \quad \begin{array}{l} \vec{w} \subseteq \Gamma \\ \Gamma' \vdash Body : \tau \\ y \in \vec{w} \text{ for each assign } y \end{array}}{\Gamma \vdash d : wf}$$

where  $\Gamma$  contains the global declarations

## Typing: function calls

let  $f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau$   
requires  $Pre$   
writes  $\vec{w}$   
ensures  $Post$   
body  $Body$

Well-typed function calls:

$$\frac{\Gamma \vdash t_j : \tau_j}{\Gamma \vdash f(t_1, \dots, t_n) : \tau}$$

Note: for simplicity the expressions  $t_j$  are assumed without side-effect (introduce extra let-expression if needed)

# Operational Semantics of a Function Call

let  $f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau$   
requires  $Pre$   
writes  $\vec{w}$   
ensures  $Post$   
body  $Body$

$$\frac{\pi = \{x_i \mapsto \llbracket t_i \rrbracket_{\Sigma, \pi}\} \quad \Sigma, \pi \models Pre}{\Sigma, \Pi, f(t_1, \dots, t_n) \rightsquigarrow \Sigma, (\pi, Post) \cdot \Pi, (Old : Body)}$$

A *call frame* is a pair  $(\pi, Post)$  of a local stack and a formula  
 $\Pi$  denotes a *stack of call frames*

## Blocking Semantics

Execution blocks at call if pre-condition does not hold



# Operational Semantics of returning from Function Call

We check that the *post-condition* holds at the end:

$$\frac{\Sigma, \pi \models \text{Post}[\text{result} \leftarrow v]}{\Sigma, (\pi, \text{Post}) \cdot \Pi, v \rightsquigarrow \Sigma, \Pi, v}$$

## Blocking Semantics

Execution blocks at return if post-condition does not hold

# WP Rule of Function Call

let  $f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau$   
requires  $Pre$   
writes  $\vec{w}$   
ensures  $Post$   
body  $Body$

$$\text{WP}(f(t_1, \dots, t_n), Q) = Pre[x_i \leftarrow t_i] \wedge \\ \forall \vec{v}, (Post[x_i \leftarrow t_i, w_j \leftarrow v_j, w_j @ Old \leftarrow w_j] \rightarrow Q[w_j \leftarrow v_j])$$

## Modular Proof Methodology

When calling function  $f$ , only the contract of  $f$  is visible, not its body

## Example: `isqrt(42)`

Exercise: prove that  $\{true\}isqrt(42)\{result = 6\}$  holds

```
val isqrt(x:int): int
  requires x >= 0
  writes (nothing)
  ensures result >= 0 /\
           sqr(result) <= x < sqr(result + 1)
```

### Abstraction of sub-programs

- ▶ Keyword `val` introduces a function with a contract but without body
- ▶ `writes` clause is mandatory in that case

## Example: Incrementation

```
val ref res: int

val incr(x:int):unit
  writes res
  ensures res = res@old + x
```

Exercise: Prove that  $\{res = 6\}incr(36)\{res = 42\}$  holds

# Soundness Theorem for a Complete Program

Assuming that for each function defined as

let  $f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau$   
requires  $Pre$   
writes  $\vec{w}$   
ensures  $Post$   
body  $Body$

we have

- ▶ variables assigned in  $Body$  belong to  $\vec{w}$ ,
- ▶  $\models Pre \rightarrow WP(Body, Post)[w_i@Old \leftarrow w_i]$  holds,

then for any formula  $Q$ , any expression  $e$ , any configuration  $(\Sigma, \pi)$ :

if  $\Sigma, \pi \models WP(e, Q)$  then execution of  $\Sigma, \pi, e$  is *safe*

Remark: (mutually) recursive functions are allowed

## Limitations of modular reasoning

```
let f (x:int) : int  
  ensures { result > x }  
  = x+1
```

```
let g () =  
  let a = f(0) in  
  assert { a = 1 }
```

### Subcontract weakness

A program can be *safe* (never blocks on annotations) and yet not being provable

## Non-inductive loop invariants

```
let ref i = 0 in
while i < 2 do
  invariant { i <> 1 }
  i <- i+2;
done
```

### Weakness of loop invariants

An invariant might be valid (the program is safe) and yet not be provably preserved by an arbitrary loop iteration

### Inductive invariants

A loop invariant is called *inductive* when it can be proved initially valid and preserved by loop iterations

In other words: a loop invariant may be valid (in the sense of safety) and yet not being inductive

## Limitations of modular reasoning (case of loops)

```
let ref i = 5 in
while i < 10 do
  invariant { i >= 0 }
  i <- i+2;
done;
assert { i = 11 }
```

### Subcontract weakness (for loop)

A program can be *safe* (never blocks on annotations) and yet not being provable



# Outline

Syntax extensions

**Termination, Variants**

Advanced Modeling of Programs

Programs on Arrays

# Termination

## Goal

Prove that a program terminates (on all inputs satisfying the precondition)

Amounts to show that

- ▶ loops never execute infinitely many times
- ▶ (mutual) recursive calls cannot occur infinitely many times

# Case of loops

Solution: annotate loops with *loop variants*

- ▶ a term that *decreases at each iteration*
- ▶ for some *well-founded ordering*  $\prec$  (i.e. there is no infinite sequence  $val_1 \succ val_2 \succ val_3 \succ \dots$ )
- ▶ A typical ordering on integers:

$$x \prec y = x < y \wedge 0 \leq y$$

# Syntax

New syntax construct:

$e ::= \text{while } e \text{ invariant } / \text{variant } t, \prec \text{ do } e$

Example:

```
{ y >= 0 }  
L:  
while y > 0 do  
  invariant { x + y = x@L + y@L }  
  variant { y }  
  x <- x + 1; y <- y - 1  
{ x = x@old + y@old /\ y = 0 }
```

# Operational semantics

$$\frac{\llbracket \cdot \rrbracket_{\Sigma, \pi} \text{ holds}}{\Sigma, \pi, \text{while } c \text{ invariant / variant } t, \prec \text{ do } e \rightsquigarrow \Sigma, \pi, L : \text{if } c \text{ then } (e; \text{assert } t \prec t@L; \text{while } c \text{ invariant / variant } t, \prec \text{ do } e) \text{ else } ()}$$

(new parts shown in red)

# Weakest Precondition

$$\text{WP}(\text{while } c \text{ invariant } I \text{ variant } t, \prec \text{ do } e, Q) = \\ I \wedge \\ \forall \vec{v}, (I \rightarrow \text{WP}(L : c, \text{if } \textit{result} \text{ then } \text{WP}(e, I \wedge t \prec t@L) \text{ else } Q)) \\ [w_i \leftarrow v_i]$$

## In practice with Why3

- ▶ presence of loop variants tells if one wants to prove termination or not
- ▶ warning issued if no variant given
- ▶ keyword `diverges` in contract for non-terminating functions
- ▶ default ordering determined from type of  $t$

## Examples

Exercise: find adequate variants

```
i <- 0;
while i <= 100
  variant ?
do i <- i+1
done;
```

```
while sum <= x
  variant ?
do
  res <- res + 1; sum <- sum + 2 * res + 1
done;
```

## Examples

Exercise: find adequate variants

```
i <- 0;
while i <= 100
  variant ?
do i <- i+1
done;
```

```
while sum <= x
  variant ?
do
  res <- res + 1; sum <- sum + 2 * res + 1
done;
```

Solutions:

**variant**  $100 - i$

**invariant**  $res \geq 0$

**variant**  $x - sum$



## Recursive Functions: Termination

If a function is recursive, termination of call can be proved, provided that the function is annotated with a *variant*

let  $f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau$   
requires *Pre*  
variant *var*,  $\prec$   
writes  $\vec{w}$   
ensures *Post*  
body *Body*

WP for function call:

$$\text{WP}(f(t_1, \dots, t_n), Q) = \text{Pre}[x_i \leftarrow t_i] \wedge \text{var}[x_i \leftarrow t_i] \prec \text{var@Old} \wedge \\ \forall \vec{y}, (\text{Post}[x_i \leftarrow t_i][w_j \leftarrow y_j][w_j@Old \leftarrow w_j] \rightarrow Q[w_j \leftarrow y_j])$$

## Example of variant on a recursive function

```
let fib (x:int) : int
  variant ?
  body
    if x <= 1 then 1 else fib (x-1) + fib (x-2)
```

## Example of variant on a recursive function

```
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  variant ?
  body
    if x <= 1 then 1 else fib (x-1) + fib (x-2)
```

Solution:

```
variant x
```

## Case of mutual recursion

Assume two functions  $f(\vec{x})$  and  $g(\vec{y})$  that call each other

- ▶ each should be given its own variant  $v_f$  (resp.  $v_g$ ) in their contract
- ▶ with the *same* well-founded ordering  $\prec$

When  $f$  calls  $g(\vec{t})$  the WP should include

$$v_g[\vec{y} \leftarrow \vec{t}] \prec v_f @ Old$$

and symmetrically when  $g$  calls  $f$

# Home Work 1: McCarthy's 91 Function

$$f91(n) = \text{if } n \leq 100 \text{ then } f91(f91(n + 11)) \text{ else } n - 10$$

Find adequate specifications

```
let f91(n:int): int
  requires ?
  variant ?
  writes ?
  ensures ?
body
  if n <= 100 then f91(f91(n + 11)) else n - 10
```

Use canvas file [mccarthy.mlw](#)

# Outline

Syntax extensions

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**Advanced Modeling of Programs**

(First-Order) Logic as a Modeling Language

Axiomatic Definitions

Programs on Arrays

# About Specification Languages

Specification languages:

- ▶ Algebraic Specifications: CASL, Larch
- ▶ Set theory: VDM, Z notation, Atelier B
- ▶ Higher-Order Logic: PVS, Isabelle/HOL, HOL4, Coq
- ▶ Object-Oriented: Eiffel, JML, OCL
- ▶ ...

# About Specification Languages

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- ▶ ...

Case of *Why3*, ACSL, Dafny: trade-off between

- ▶ expressiveness of specifications
- ▶ support by automated provers



## Why3 Logic Language

- ▶ (First-order) logic, built-in arithmetic (integers and reals)
- ▶ *Definitions* à la ML
  - ▶ logic (i.e. pure) *functions, predicates*
  - ▶ structured types, pattern-matching (next lecture)
- ▶ *type polymorphism* à la ML
- ▶ *higher-order logic as a built-in theory of functions*
- ▶ Axiomatizations
- ▶ Inductive predicates (next lecture)

### Important note

Logic functions and predicates are *always totally defined*

# Definition of new Logic Symbols

Logic functions defined as

$$\mathbf{function} \ f(x_1 : \tau_1, \dots, x_n : \tau_n) : \tau = e$$

Predicate defined as

$$\mathbf{predicate} \ \rho(x_1 : \tau_1, \dots, x_n : \tau_n) = e$$

where  $\tau_i, \tau$  are logic types (**not references**)

- ▶ *No recursion allowed* (yet)
- ▶ *No side effects*
- ▶ Defines *total* functions and predicates

## Logic Symbols: Examples

```
function sqr(x:int) = x * x
```

```
predicate divides(x:int,y:int) =  
  exists z:int. y = x * z
```

```
predicate is_prime(x:int) =  
  x >= 2 /\   
  forall y z:int. y >= 0 /\ z >= 0 /\ x = y*z ->  
    y=1 \/ z=1
```

## Definition of new logic types: Product Types

- ▶ Tuples types are built-in:

```
type pair = (int, int)
```

- ▶ Record types can be defined:

```
type point = { x:real; y:real }
```

Fields are **immutable**

- ▶ We allow let with pattern, e.g.

```
let (a,b) = ... in ...  
let { x = a; y = b } = ... in ...
```

- ▶ Dot notation for records fields, e.g.

```
p.x + p.y
```

# Axiomatic Definitions

*Function* and *predicate* declarations of the form

function  $f(\tau, \dots, \tau_n) : \tau$   
predicate  $p(\tau, \dots, \tau_n)$

together with *axioms*

*axiom id* : *formula*

specify that  $f$  (resp.  $p$ ) is **any symbol** satisfying the axioms

# Axiomatic Definitions

Example: division

```
function div(real,real):real
```

```
axiom mul_div:
```

```
  forall x,y. y<>0 -> div(x,y)*y = x
```

# Axiomatic Definitions

Example: division

```
function div(real,real):real
axiom mul_div:
  forall x,y. y<>0 -> div(x,y)*y = x
```

Example: factorial

```
function fact(int):int
axiom fact0:
  fact(0) = 1
axiom factn:
  forall n:int. n >= 1 -> fact(n) = n * fact(n-1)
```

Exercise: axiomatize the GCD

# Axiomatic Definitions

- ▶ Functions/predicates are typically **underspecified**  
⇒ we can model **partial** functions in a logic of total functions



# Axiomatic Definitions

- ▶ Functions/predicates are typically **underspecified**  
⇒ we can model **partial** functions in a logic of total functions

## Warning about soundness

Axioms may introduce *inconsistencies*

```
function div(real,real):real
```

```
axiom mul_div: forall x,y. div(x,y)*y = x
```

```
implies 1 = div(1,0)*0 = 0
```

# Underspecified Logic Functions and Run-time Errors

Error “Division by zero” can be modeled by an abstract function

```
val div_real(x:real,y:real):real
  requires y <> 0.0
  ensures result = div(x,y)
```

## Reminder

Execution blocks when an invalid annotation is met

# Outline

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**Programs on Arrays**

## Higher-order logic as a built-in theory

- ▶ type of *maps*:  $\tau_1 \rightarrow \tau_2$
- ▶ lambda-expressions: `fun x :  $\tau$  -> t`

Definition of selection function:

```
function select (f :  $\alpha \rightarrow \beta$ ) (x :  $\alpha$ ) :  $\beta$  = f x
```

Definition of function update:

```
function store (f :  $\alpha \rightarrow \beta$ ) (x :  $\alpha$ ) (v :  $\beta$ ) :  $\alpha \rightarrow \beta$  =  
  fun (y :  $\alpha$ ) -> if x = y then v else f y
```

### SMT (first-order) theory of “functional arrays”

```
lemma select_store_eq: forall f: $\alpha$ -> $\beta$ , x: $\alpha$ , v: $\beta$ .  
  select(store(f,x,v),x) = v
```

```
lemma select_store_neq: forall f: $\alpha$ -> $\beta$ , x y: $\alpha$ , v: $\beta$ .  
  x <> y -> select(store(f,x,v),y) = select(f,y)
```

## Arrays as Mutable Variables of type “Map”

- ▶ Array variable: mutable variable of type `int ->  $\alpha$`
- ▶ In a program, the standard assignment operation

```
a[i] <- e
```

is interpreted as

```
a <- store(a,i,e)
```

## Simple Example

```
val ref a: int -> int

let test()
  writes a
  ensures select(a,0) = 13  (* a[0] = 13 *)
body
  a <- store(a,0,13);      (* a[0] <- 13 *)
  a <- store(a,1,42)      (* a[1] <- 42 *)
```

Exercise: prove this program

## Simple Example

$WP((a \leftarrow \text{store}(a, 0, 13);$   
 $a \leftarrow \text{store}(a, 1, 42)), \text{select}(a, 0) = 13))$

## Simple Example

$WP((a \leftarrow \text{store}(a, 0, 13);$   
     $a \leftarrow \text{store}(a, 1, 42)), \text{select}(a, 0) = 13))$   
=  $WP(a \leftarrow \text{store}(a, 0, 13),$   
     $WP(a \leftarrow \text{store}(a, 1, 42), \text{select}(a, 0) = 13)))$



## Simple Example

$$\begin{aligned} & WP((a \leftarrow \text{store}(a, 0, 13); \\ & \quad a \leftarrow \text{store}(a, 1, 42)), \text{select}(a, 0) = 13)) \\ = & WP(a \leftarrow \text{store}(a, 0, 13), \\ & \quad WP(a \leftarrow \text{store}(a, 1, 42), \text{select}(a, 0) = 13))) \\ = & WP(a \leftarrow \text{store}(a, 0, 13); \text{select}(\text{store}(a, 1, 42), 0) = 13) \end{aligned}$$

## Simple Example

$$\begin{aligned} & WP((a \leftarrow \text{store}(a, 0, 13); \\ & \quad a \leftarrow \text{store}(a, 1, 42)), \text{select}(a, 0) = 13)) \\ = & WP(a \leftarrow \text{store}(a, 0, 13), \\ & \quad WP(a \leftarrow \text{store}(a, 1, 42), \text{select}(a, 0) = 13))) \\ = & WP(a \leftarrow \text{store}(a, 0, 13); \text{select}(\text{store}(a, 1, 42), 0) = 13) \\ = & \text{select}(\text{store}(\text{store}(a, 0, 13), 1, 42), 0) = 13 \end{aligned}$$

## Simple Example

$WP((a \leftarrow \text{store}(a, 0, 13);$   
     $a \leftarrow \text{store}(a, 1, 42)), \text{select}(a, 0) = 13))$   
=  $WP(a \leftarrow \text{store}(a, 0, 13),$   
     $WP(a \leftarrow \text{store}(a, 1, 42), \text{select}(a, 0) = 13))$   
=  $WP(a \leftarrow \text{store}(a, 0, 13); \text{select}(\text{store}(a, 1, 42), 0) = 13)$   
=  $\text{select}(\text{store}(\text{store}(a, 0, 13), 1, 42), 0) = 13$   
=  $\text{select}(\text{store}(a, 0, 13), 0) = 13$

## Simple Example

$WP((a \leftarrow \text{store}(a, 0, 13);$   
     $a \leftarrow \text{store}(a, 1, 42)), \text{select}(a, 0) = 13))$   
=  $WP(a \leftarrow \text{store}(a, 0, 13),$   
     $WP(a \leftarrow \text{store}(a, 1, 42), \text{select}(a, 0) = 13))$   
=  $WP(a \leftarrow \text{store}(a, 0, 13); \text{select}(\text{store}(a, 1, 42), 0) = 13)$   
=  $\text{select}(\text{store}(\text{store}(a, 0, 13), 1, 42), 0) = 13$   
=  $\text{select}(\text{store}(a, 0, 13), 0) = 13$   
=  $13 = 13$

## Simple Example

$WP((a \leftarrow \text{store}(a, 0, 13);$   
     $a \leftarrow \text{store}(a, 1, 42)), \text{select}(a, 0) = 13))$   
=  $WP(a \leftarrow \text{store}(a, 0, 13),$   
     $WP(a \leftarrow \text{store}(a, 1, 42), \text{select}(a, 0) = 13))$   
=  $WP(a \leftarrow \text{store}(a, 0, 13); \text{select}(\text{store}(a, 1, 42), 0) = 13)$   
=  $\text{select}(\text{store}(\text{store}(a, 0, 13), 1, 42), 0) = 13$   
=  $\text{select}(\text{store}(a, 0, 13), 0) = 13$   
=  $13 = 13$   
=  $\text{true}$

## Simple Example

$WP((a \leftarrow \text{store}(a, 0, 13);$   
     $a \leftarrow \text{store}(a, 1, 42)), \text{select}(a, 0) = 13))$   
=  $WP(a \leftarrow \text{store}(a, 0, 13),$   
     $WP(a \leftarrow \text{store}(a, 1, 42), \text{select}(a, 0) = 13))$   
=  $WP(a \leftarrow \text{store}(a, 0, 13); \text{select}(\text{store}(a, 1, 42), 0) = 13)$   
=  $\text{select}(\text{store}(\text{store}(a, 0, 13), 1, 42), 0) = 13$   
=  $\text{select}(\text{store}(a, 0, 13), 0) = 13$   
=  $13 = 13$   
=  $\text{true}$

Note how we use both lemmas *select\_store\_eq* and *select\_store\_neq*

## Example: Swap

Permute the contents of cells  $i$  and  $j$  in an array  $a$ :

```
val ref a: int -> int

let swap(i:int,j:int)
  writes a
  ensures select(a,i) = select(a@old,j) /\
         select(a,j) = select(a@old,i) /\
         forall k:int. k <> i /\ k <> j ->
         select(a,k) = select(a@old,k)
body
  let tmp = select(a,i) in      (* tmp <- a[i] *)
  a <- store(a,i,select(a,j)); (* a[i] <- a[j] *)
  a <- store(a,j,tmp)          (* a[j] <- tmp *)
```

## Arrays as Variables of Type “length $\times$ map”

- ▶ Goal: model “out-of-bounds” run-time errors
- ▶ Array variable: mutable variable of type `array  $\alpha$`

```
type array 'a = { length : int; elts : int -> 'a}

val get (ref a:array 'a) (i:int) : 'a
  requires 0 <= i < a.length
  ensures  result = select(a.elts,i)

val set (ref a:array 'a) (i:int) (v:'a) : unit
  requires 0 <= i < a.length
  writes    a
  ensures  a.length = a@old.length /\
            a.elts = store(a@old.elts,i,v)
```

- ▶ `a[i]` interpreted as a call to `get(a,i)`
- ▶ `a[i] <- v` interpreted as a call to `set(a,i,v)`



## Example: Swap again

```
val ref a: array int

let swap(i:int,j:int)
  requires 0 <= i < a.length /\ 0 <= j < a.length
  writes a
  ensures select(a.elts,i) = select(a@0ld.elts,j) /\
    select(a.elts,j) = select(a@0ld.elts,i) /\
    forall k:int. 0 <= k < a.length /\ k <> i /\ k <> j ->
      select(a.elts,k) = select(a@0ld.elts,k)
body
  let tmp = get(a,i) in    (* tmp <-a[i]*
  set(a,i,get(a,j));      (* a[i]<-a[j]*
  set(a,j,tmp)            (* a[j]<-tmp *)
```

## Note about Arrays in Why3

**use** array.Array

syntax: `a.length`, `a[i]`, `a[i]<-v`

Example: swap

```
val a: array int

let swap (i:int) (j:int)
  requires { 0 <= i < a.length /\ 0 <= j < a.length }
  writes   { a }
  ensures  { a[i] = old a[j] /\ a[j] = old a[i] }
  ensures  { forall k:int.
              0 <= k < a.length /\ k <> i /\ k <> j ->
              a[k] = old a[k] }
=
  let tmp = a[i] in a[i] <- a[j]; a[j] <- tmp
```

## Exercises on Arrays

- ▶ Prove Swap by computing the WP
- ▶ Using WP, prove the program

```
let test()  
  requires  
    select(a,0) = 13 /\ select(a,1) = 42 /\  
    select(a,2) = 64  
  ensures  
    select(a,0) = 64 /\ select(a,1) = 42 /\  
    select(a,2) = 13  
body  
  swap(0,2)
```

## Exercise on Arrays: incrementation

- ▶ Specify, implement, and prove a program that increments by 1 all cells, between given indices  $i$  and  $j$ , of an array of reals

See file [array\\_incr.mlw](#)

## Exercise: Search Algorithms

```
var a: array real

let search(n:int, v:real): int
  requires 0 <= n
  ensures { ? }
= ?
```

1. Formalize postcondition: if  $v$  occurs in  $a$ , between 0 and  $n - 1$ , then result is an index where  $v$  occurs, otherwise result is set to  $-1$
2. Implement and prove *linear search*:  
 $res \leftarrow -1$ ;  
for each  $i$  from 0 to  $n - 1$ : if  $a[i] = v$  then  $res \leftarrow i$ ;  
return  $res$

See file [lin\\_search.mlw](#)

## Home Work 4: Binary Search

```
low = 0; high = n - 1;  
while low ≤ high:  
    let m be the middle of low and high  
    if a[m] = v then return m  
    if a[m] < v then continue search between m and high  
    if a[m] > v then continue search between low and m
```

See file [bin\\_search.mlw](#)

## Home Work 5: “for” loops

Syntax: `for  $i = e_1$  to  $e_2$  do  $e$`

Typing:

- ▶  $i$  visible only in  $e$ , and is immutable
- ▶  $e_1$  and  $e_2$  must be of type `int`,  $e$  must be of type `unit`

Operational semantics:

(assuming  $e_1$  and  $e_2$  are values  $v_1$  and  $v_2$ )

$$\frac{v_1 > v_2}{\Sigma, \pi, \text{for } i = v_1 \text{ to } v_2 \text{ do } e \rightsquigarrow \Sigma, \pi, ()}$$

$$\frac{v_1 \leq v_2}{\Sigma, \pi, \text{for } i = v_1 \text{ to } v_2 \text{ do } e \rightsquigarrow \Sigma, \pi, \begin{array}{l} (\text{let } i = v_1 \text{ in } e); \\ (\text{for } i = v_1 + 1 \text{ to } v_2 \text{ do } e) \end{array}}$$

## Home Work: “for” loops

Propose a Hoare logic rule for the for loop:

$$\frac{\{?\}e\{?\}}{\{?\}\text{for } i = v_1 \text{ to } v_2 \text{ do } e\{?\}}$$

Propose a rule for computing the WP:

$$\text{WP}(\text{for } i = v_1 \text{ to } v_2 \text{ invariant } I \text{ do } e, Q) = ?$$



That's all for today, Merry Christmas !



- ▶ Next lecture on January 3th
- ▶ Several home work exercises to do