

Separation Logic 3/4

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February 7, 2023

using some material from Arthur Charguéraud

Chapter 12

Loops in Separation Logic

Verification of a for-loop

```
let facto n =  
  let r = ref 1 in  
  for i = 2 to n do  
    let v = !r in  
    r := v * i;  
  done;  
  !r
```

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```

Before the loop:

$$r \mapsto 1$$

At each iteration:

$$\text{from } r \mapsto (i - 1)! \text{ to } r \mapsto i!$$

After the loop:

$$r \mapsto n!$$

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```

Before the loop:

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At each iteration:

$$\text{from } r \mapsto (i - 1)! \text{ to } r \mapsto i!$$

After the loop:

$$r \mapsto n!$$

Loop invariant ($I : \text{int} \rightarrow \text{Hprop}$) that applies for any $i \in [2, n + 1]$:

$$I i \quad \equiv \quad r \mapsto (i - 1)!$$

Reasoning rule for for-loops

Reasoning rule for the case $a \leq b$:

$$\frac{\begin{array}{c} H \triangleright I a \\ \forall i \in [a, b]. \{I i\} t \{\lambda_. I (i + 1)\} \\ I (b + 1) \triangleright Q () \end{array}}{\{H\} (\text{for } i = a \text{ to } b \text{ do } t) \{Q\}}$$

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General rule, also covering the case $a > b$:

$$\frac{\begin{array}{c} H \triangleright I a \\ \forall i \in [a, b]. \{I i\} t \{\lambda.. I (i + 1)\} \\ I (\max a (b + 1)) \triangleright Q () \end{array}}{\{H\} (\text{for } i = a \text{ to } b \text{ do } t) \{Q\}}$$

Reasoning rule for while loops: partial correctness

The loop invariant I describes the state between every iterations.
The post-condition J describes the state after the evaluation of t_1 .

$$\frac{H \triangleright I \quad \{I\} t_1 \{J\} \quad \{J \text{ true}\} t_2 \{\lambda_. I\} \quad J \text{ false} \triangleright Q ()}{\{H\} (\text{while } t_1 \text{ do } t_2) \{Q\}}$$

where $(I : \text{Hprop})$ and $(J : \text{bool} \rightarrow \text{Hprop})$.

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where $(I : \text{Hprop})$ and $(J : \text{bool} \rightarrow \text{Hprop})$.

For total correctness: parameterize the invariant with a measure.

Reasoning rule for while loops

We focus on a different approach that:

- inherently supports total correctness;
- allows to apply frame during iterations.

Reasoning rule for while loops

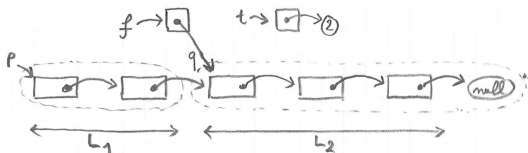
We focus on a different approach that:

- inherently supports total correctness;
- allows to apply frame during iterations.

Prove a triple $\{H\} (\text{while } t_1 \text{ do } t_2) \{Q\}$ by induction, using:

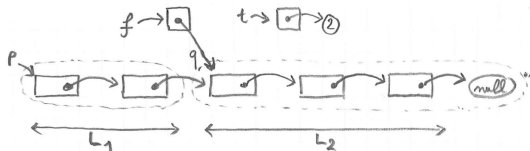
$$\frac{\{H\} (\text{if } t_1 \text{ then } (t_2; (\text{while } t_1 \text{ do } t_2)) \text{ else } ()) \{Q\}}{\{H\} (\text{while } t_1 \text{ do } t_2) \{Q\}}$$

Length with a while loop



```
let mlength (p:'a cell) =  
  let t = ref 0 in  
  let f = ref p in  
  while !f != null do  
    incr t;  
    f := (!f).tl;  
  done;  
  !t
```

Length with a while loop: induction



We prove by induction on L_2 that for any n and q :

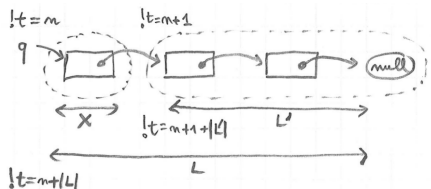
$$\{q \rightsquigarrow \text{MList } L_2 * f \mapsto q * t \mapsto n\}$$
$$(\text{while } !f \neq \text{null} \text{ do incr } t; f := (!f).tl; \text{done})$$
$$\{q \rightsquigarrow \text{MList } L_2 * f \mapsto \text{null} * t \mapsto (n + \text{length } L_2)\}$$

The loop unfolds to:

```
if !f != null
  then (incr t; f := (!f).tl; while .. do .. done)
  else ()
```

Exercise: describe the frame process in the induction for `mlength`.

Length with a while loop: frame process



$q \rightsquigarrow \text{MList } L_2$	$* f \mapsto q$	$* t \mapsto n$	begin
$q \mapsto \{x; q'\} * q' \rightsquigarrow \text{MList } L'_2$	$* f \mapsto q$	$* t \mapsto n$	unfold
$q \mapsto \{x; q'\} * q' \rightsquigarrow \text{MList } L'_2$	$* f \mapsto q$	$* t \mapsto n + 1$	increment
$q \mapsto \{x; q'\} * q' \rightsquigarrow \text{MList } L'_2$	$* f \mapsto q'$	$* t \mapsto n + 1$	shift head
$q \mapsto \{x; q'\} * q' \rightsquigarrow \text{MList } L'_2$	$* f \mapsto \text{null}$	$* t \mapsto n + 1 + L'_2 $	<u>frame</u> +Ind.hyp.
$q \rightsquigarrow \text{MList } L_2$	$* f \mapsto \text{null}$	$* t \mapsto n + L_2 $	fold

Chapter 13

Aliasing and local state

Functions with aliasing: swap

```
let swap r s =  
  let a = !r in  
  let b = !s in  
  r := b;  
  s := a
```

Exercise: Find three useful specifications for `swap`:

- 1 a specification for non-aliased (distinct) arguments,
- 2 a specification for aliased (equal) arguments,
- 3 a most-general specification, stated using iterated conjunction (or another construct from Course 2).

Functions with aliasing: 3 specifications for swap

Specification 1:

$$\forall rsnm. \{(r \mapsto n) * (s \mapsto m)\} (\text{swap } r \ s) \{\lambda_. (r \mapsto m) * (s \mapsto n)\}$$

Functions with aliasing: 3 specifications for swap

Specification 1:

$$\forall rsnm. \{(r \mapsto n) * (s \mapsto m)\} (\text{swap } r \ s) \{\lambda_. (r \mapsto m) * (s \mapsto n)\}$$

Specification 2:

$$\forall rsn. \{r = s \mapsto n\} (\text{swap } r \ s) \{\lambda_. r \mapsto n\}$$

or simply:

$$\forall rn. \{r \mapsto n\} (\text{swap } r \ r) \{\lambda_. r \mapsto n\}$$

Functions with aliasing: 3 specifications for swap

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Specification 2:

$$\forall rsn. \{r = s' * (r \mapsto n)\} (\text{swap } r \ s) \{\lambda_. r \mapsto n\}$$

or simply:

$$\forall rn. \{r \mapsto n\} (\text{swap } r \ r) \{\lambda_. r \mapsto n\}$$

Specification 3:

$$\begin{aligned} \forall rsM. r, s \in \text{dom } M \Rightarrow & \{ \bigotimes_{(p,n) \in M} p \mapsto n \} \\ & (\text{swap } r \ s) \\ & \{ \lambda_. \bigotimes_{(p,n) \in (M[r := M[s]] [s := M[r]])} p \mapsto n \} \end{aligned}$$

alternatively, $\forall rsM. r, s \in \text{dom } M \Rightarrow$

$$\{ \text{Cells}'(M) \} (\text{swap } r \ s) \{ \lambda_. \text{Cells}'(M[r := M[s]] [s := M[r]]) \}$$

Function with local state

Exercise: what is the specification of f in the following program?

```
let r = ref 3
let f () =
  incr r
```

Then, show that the code below returns 5.

```
f();
f();
!r
```

Function with local state

Exercise: what is the specification of f in the following program?

```
let r = ref 3
let f () =
  incr r
```

Then, show that the code below returns 5.

```
f();
f();
!r
```

Specification:

$$\forall n. \{r \mapsto n\} (f \ ()) \{\lambda_. r \mapsto n + 1\}$$

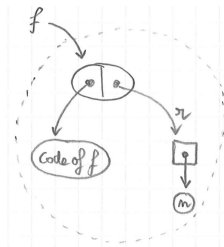
Successive states:

$$r \mapsto 3 \quad r \mapsto 4 \quad r \mapsto 5$$

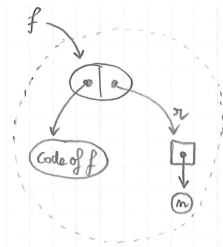
Counter function: code

```
let mkcounter () =  
  let r = ref 0 in  
  (fun () -> incr r; !r)
```

```
let c = mkcounter() in  
let x = c() in  
let y = c() in  
assert (x = 1 && y = 2)
```

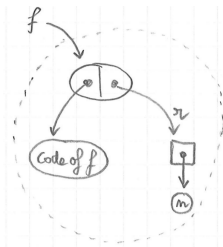


Counter function: specification



$$f \rightsquigarrow \text{Count } n \equiv \exists r. (r \mapsto n) \\ * \lceil \forall i. \{r \mapsto i\} (f ()) \{\lambda x. \lceil x = i + 1 \rceil * (r \mapsto i + 1) \} \rceil$$

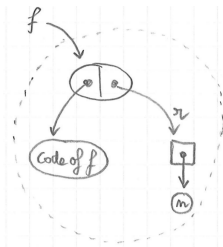
Counter function: specification



$$f \rightsquigarrow \text{Count } n \equiv \exists r. (r \mapsto n) \\ * \lceil \forall i. \{r \mapsto i\} (f ()) \{\lambda x. \lceil x = i + 1 \rceil * (r \mapsto i + 1)\} \rceil$$

Exercise: specify a counter function, only in terms of $f \rightsquigarrow \text{Count } n$.

Counter function: specification



$$f \rightsquigarrow \text{Count } n \equiv \exists r. (r \mapsto n) * \lceil \forall i. \{r \mapsto i\} (f ()) \{\lambda x. \lceil x = i + 1 \rceil * (r \mapsto i + 1) \} \rceil$$

Exercise: specify a counter function, only in terms of $f \rightsquigarrow \text{Count } n$.

$$\{\lceil \cdot \rceil\} (\text{mkcounter}()) \{\lambda f. f \rightsquigarrow \text{Count } 0\}$$

$$\forall f i. \{f \rightsquigarrow \text{Count } i\} (f ()) \{\lambda x. \lceil x = i + 1 \rceil * f \rightsquigarrow \text{Count } (i + 1) \}$$

Chapter 14

Basic higher-order functions

Apply

```
let apply f x =  
  f x
```

Specification:

$$\begin{aligned} \forall f x H Q. \quad & \{H\} (f x) \{Q\} \\ \Rightarrow & \{H\} (\text{apply } f x) \{Q\} \end{aligned}$$

Apply

```
let apply f x =  
  f x
```

Specification:

$$\begin{aligned} \forall f x H Q. \quad & \{H\} (f x) \{Q\} \\ \Rightarrow & \{H\} (\text{apply } f x) \{Q\} \end{aligned}$$

This is equivalent to the form below, which involves nested triples:

$$\forall f x H Q. \quad \{H * \ulcorner \{H\} (f x) \{Q\} \urcorner\} (\text{apply } f x) \{Q\}$$

Apply on a reference

```
let refapply r f =  
  r := f !r
```

Exercise: give two specifications for the function `refapply`.

In the first, assume `f` to be pure, and introduce a predicate $P\ x\ y$.

In the second, assume that `f` also modifies the state from H to H' .

Apply on a reference

```
let refapply r f =  
  r := f !r
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Exercise: give two specifications for the function `refapply`.

In the first, assume `f` to be pure, and introduce a predicate $P\ x\ y$.

In the second, assume that `f` also modifies the state from H to H' .

$$\begin{aligned} \forall r f x P. \quad & \{\ulcorner \urcorner\} (f\ x) \{\lambda y. \ulcorner P\ x\ y \urcorner\} \\ \Rightarrow & \{r \mapsto x\} (\text{refapply } r\ f) \{\lambda_. \exists y. \ulcorner P\ x\ y \urcorner * r \mapsto y\} \end{aligned}$$

Apply on a reference

```
let refapply r f =  
  r := f !r
```

Exercise: give two specifications for the function `refapply`.

In the first, assume `f` to be pure, and introduce a predicate $P x y$.

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$$\begin{aligned} \forall r f x P. \quad & \{ \ulcorner \urcorner \} (f x) \{ \lambda y. \ulcorner P x y \urcorner \} \\ \Rightarrow & \{ r \mapsto x \} (\text{refapply } r f) \{ \lambda _. \exists y. \ulcorner P x y \urcorner * r \mapsto y \} \end{aligned}$$

$$\begin{aligned} \forall r f x H H' P. \quad & \{ H \} (f x) \{ \lambda y. \ulcorner P x y \urcorner * H' \} \\ \Rightarrow & \{ (r \mapsto x) * H \} \\ & (\text{refapply } r f) \\ & \{ \lambda _. \exists y. \ulcorner P x y \urcorner * (r \mapsto y) * H' \} \end{aligned}$$

Function twice

```
let twice f =  
  f(); f()
```

Specification:

$$\begin{aligned} \forall f H' Q. \quad & \{H\} (f ()) \{ \lambda _. H' \} \\ & \wedge \{H'\} (f ()) \{Q\} \\ \Rightarrow & \{H\} (\text{twice } f) \{Q\} \end{aligned}$$

Function repeat

```
let repeat n f =  
  for i = 0 to n-1 do  
    f()  
  done
```

Exercise: specify repeat, using an invariant I , of type $\text{int} \rightarrow \text{Hprop}$.

Function repeat

```
let repeat n f =  
  for i = 0 to n-1 do  
    f()  
  done
```

Exercise: specify repeat, using an invariant I , of type $\text{int} \rightarrow \text{Hprop}$.

$$\begin{aligned} \forall n f I. \quad & (\forall i \in [0, n). \{I i\} (f ()) \{\lambda_. I (i + 1)\}) \\ \Rightarrow & \{I 0\} (\text{repeat } n f) \{\lambda_. I n\} \end{aligned}$$

The premise consists of a family of hypotheses describing the behavior of applications of f to particular arguments.

Chapter 15

Higher order iteration

Iteration over a pure list



For pedagogical purposes, “pure lists” are values that live outside the heap and need no representation predicate. (In practice, lists do need to be allocated.)



```
let rec iter f l =  
  match l with  
  | [] -> ()  
  | x::t -> f x; iter f t
```

Exercise: specify `iter`, using an invariant I , of type $\text{list } \alpha \rightarrow \text{Hprop}$.

Iteration over a pure list



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```
let rec iter f l =  
  match l with  
  | [] -> ()  
  | x::t -> f x; iter f t
```

Exercise: specify `iter`, using an invariant I , of type $\text{list } \alpha \rightarrow \text{Hprop}$.

$$\begin{aligned} \forall f l I. \quad & (\forall x k. \{I k\} (f x) \{\lambda_. I (k \& x)\}) \\ \Rightarrow & \{I \text{ nil}\} (\text{iter } f l) \{\lambda_. I l\} \end{aligned}$$

where $k \& x \equiv k ++ (x :: \text{nil})$.

Length using iter

$$\begin{aligned} & (\forall x k. \{I k\} (f x) \{\lambda_. I (k \& x)\}) \\ \Rightarrow & \{I \text{nil}\} (\text{iter } f l) \{\lambda_. I l\} \end{aligned}$$

```
let length l =  
  let r = ref 0 in  
  iter (fun x -> incr r) l;  
  !r
```

Exercise: give the instantiation of the invariant I for iter; then, write the specialization of the specification of iter to I and to $(\text{fun } x \rightarrow \text{incr } r)$; finally, check that the premise is provable.

Length using iter

$$\begin{aligned} & (\forall xk. \{I k\} (f x) \{\lambda_. I (k&x)\}) \\ \Rightarrow & \{I \text{nil}\} (\text{iter } f l) \{\lambda_. I l\} \end{aligned}$$

```
let length l =  
  let r = ref 0 in  
  iter (fun x -> incr r) l;  
  !r
```

Exercise: give the instantiation of the invariant I for `iter`; then, write the specialization of the specification of `iter` to I and to `(fun x -> incr r)`; finally, check that the premise is provable.

Invariant: $I \equiv \lambda k. r \mapsto |k|$.

$$\begin{aligned} & (\forall xk. \{r \mapsto |k|\} (\text{incr } r) \{\lambda_. r \mapsto |k| + 1\}) \\ \Rightarrow & \{r \mapsto 0\} (\text{iter } f l) \{\lambda_. r \mapsto |l|\} \end{aligned}$$

Sum using iter

$$\begin{aligned} & (\forall xk. \{I k\} (f x) \{\lambda_. I (k\&x)\}) \\ \Rightarrow & \{I \text{nil}\} (\text{iter } f l) \{\lambda_. I l\} \end{aligned}$$

```
let sum l =  
  let r = ref 0 in  
  iter (fun x -> r := !r + x) l;  
  !r
```

Exercise: give the invariant I involved in the above call to iter.

Sum using iter

$$\begin{aligned} & (\forall x k. \{I k\} (f x) \{\lambda_. I (k&\&x)\}) \\ \Rightarrow & \{I \text{nil}\} (\text{iter } f l) \{\lambda_. I l\} \end{aligned}$$

```
let sum l =  
  let r = ref 0 in  
  iter (fun x -> r := !r + x) l;  
  !r
```

Exercise: give the invariant I involved in the above call to `iter`.

$$I \equiv \lambda k. r \mapsto \text{Sum } k$$

where:

$$\text{Sum } k \equiv \text{Fold } (+) 0 k$$

Constraints over the items

$$\begin{aligned} & (\forall x k. \{I k\} (f x) \{\lambda_. I (k \& x)\}) \\ \Rightarrow & \{I \text{nil}\} (\text{iter } f l) \{\lambda_. I l\} \end{aligned}$$

Given a list $x_1 :: x_2 :: \dots :: x_n :: \text{nil}$, let us compute:

$$\frac{10}{x_1} + \frac{10}{x_2} + \dots + \frac{10}{x_n}$$

```
iter (fun x -> r := !r + 10 / x) [2; -3; 4]
```

Constraints over the items

$$\begin{aligned} & (\forall xk. \{I k\} (f x) \{\lambda_. I (k\&x)\}) \\ \Rightarrow & \{I \text{nil}\} (\text{iter } f l) \{\lambda_. I l\} \end{aligned}$$

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```
iter (fun x -> r := !r + 10 / x) [2; -3; 4]
```

The above specification of `iter` is too weak. More general specification:

$$\begin{aligned} \forall f l l'. & \quad (\forall xk. x \in l \Rightarrow \{I k\} (f x) \{\lambda_. I (k\&x)\}) \\ \Rightarrow & \quad \{I \text{nil}\} (\text{iter } f l) \{\lambda_. I l'\} \end{aligned}$$

Constraints over the items, in order

$$\begin{aligned} \forall f l. \quad & (\forall x k. x \in l \Rightarrow \{I k\} (f x) \{\lambda_. I (k \& x)\}) \\ \Rightarrow & \{I \text{nil}\} (\text{iter } f l) \{\lambda_. I l\} \end{aligned}$$

Given a list $x_1 :: x_2 :: \dots :: x_n :: \text{nil}$, let us compute:

$$\frac{10}{\frac{10}{0+x_1} + x_2} \dots \dots + x_n$$

```
iter (fun x -> r := 10 / (!r + x)) [2; -3; 4]
```

Constraints over the items, in order

$$\begin{aligned} \forall f l l. \quad & (\forall x k. x \in l \Rightarrow \{I k\} (f x) \{\lambda_. I (k \& x)\}) \\ \Rightarrow & \{I \text{nil}\} (\text{iter } f l) \{\lambda_. I l\} \end{aligned}$$

Given a list $x_1 :: x_2 :: \dots :: x_n :: \text{nil}$, let us compute:

$$\frac{10}{\frac{\frac{10}{0+x_1} + x_2}{\dots} + x_n}$$

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iter (fun x -> r := 10 / (!r + x)) [2; -3; 4]
```

The above specification of `iter` is too weak. Most-general specification:

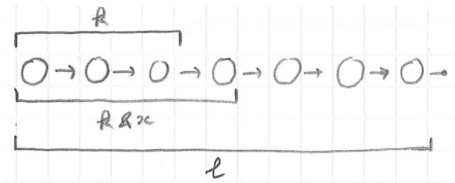
$$\begin{aligned} \forall f l l. \quad & (\forall x k s. l = k ++ x :: s \Rightarrow \{I k\} (f x) \{\lambda_. I (k \& x)\}) \\ \Rightarrow & \{I \text{nil}\} (\text{iter } f l) \{\lambda_. I l\} \end{aligned}$$

Verification of iter

$$\begin{aligned} & (\forall x k. \{I k\} (f x) \{\lambda_. I (k \& x)\}) \\ \Rightarrow & \{I \text{nil}\} (\text{iter } f l) \{\lambda_. I l\} \end{aligned}$$

```
let rec iter f l =  
  match l with  
  | [] -> ()  
  | x::t -> f x; iter f t
```

How to prove that the code satisfies its specification?



Verification of iter: generalized principle

Assume:

$$\forall xk. \{I k\} (f x) \{\lambda_. I (k&x)\}$$

Prove:

$$\{I nil\} (\text{iter } f l) \{\lambda_. I l\}$$

Verification of iter: generalized principle

Assume:

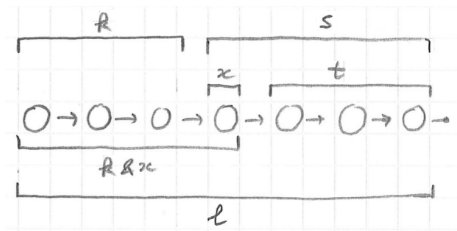
$$\forall xk. \{I k\} (f x) \{\lambda_. I (k\&x)\}$$

Prove:

$$\{I nil\} (\text{iter } f l) \{\lambda_. I l\}$$

Proof by induction over a generalized statement:

$$\forall sk. \{I k\} (\text{iter } f s) \{\lambda_. I (k++s)\}$$



Verification of iter: induction

```
let rec iter f s =  
  match s with  
  | [] -> ()  
  | x::t -> f x; iter f t
```

Assume: $\forall xk. \{I k\} (f x) \{\lambda_. I (k \& x)\}$

Prove: $\forall ks. \{I k\} (\text{iter } f s) \{\lambda_. I (k ++ s)\}$

By induction on s :

- Case $s = \text{nil}$. Goal is: $\{I k\} (\text{iter } f \text{ nil}) \{\lambda_. I (k ++ \text{nil})\}$.
This triple simplifies to: $\{I k\} () \{\lambda_. I k\}$, which is correct.

Verification of iter: induction

```
let rec iter f s =  
  match s with  
  | [] -> ()  
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```

Assume: $\forall xk. \{I k\} (f x) \{\lambda_. I (k\&x)\}$

Prove: $\forall ks. \{I k\} (\text{iter } f s) \{\lambda_. I (k\&s)\}$

By induction on s :

- Case $s = \text{nil}$. Goal is: $\{I k\} (\text{iter } f \text{ nil}) \{\lambda_. I (k\&\text{nil})\}$.
This triple simplifies to: $\{I k\} () \{\lambda_. I k\}$, which is correct.
- Case $s = x :: t$. Goal is: $\{I k\} (\text{iter } f (x :: t)) \{\lambda_. I (k\&(x :: t))\}$.

HYPOTHESIS-ON-F

INDUCTION-HYPOTHESIS

$$\frac{\frac{\{I k\} (f x) \{\lambda_. I (k\&x)\}}{\quad} \quad \frac{\{I (k\&x)\} (\text{iter } f t) \{\lambda_. I ((k\&x)\&t)\}}{\quad}}{\{I k\} (f x; \text{iter } f t) \{I ((k\&x)\&t)\}} \text{SEQ}$$

Invariant on remaining items

$$(\forall xk. \{I k\} (f x) \{\lambda_. I (k\&x)\}) \Rightarrow \{I \text{nil}\} (\text{iter } f l) \{\lambda_. I l\}$$

$$(\forall \dots \{\dots\} (f x) \{\lambda_. \dots\}) \Rightarrow \{I' l\} (\text{iter } f l) \{\lambda_. I' \text{nil}\}$$

Exercise:

- specify `iter` using an invariant that depends on the list of items remaining to process, instead of on the list of items already processed.
- prove the old specification derivable from the new one,
- prove the new specification derivable from the old (most general) one.

Invariant on remaining items

$$(\forall xk. \{I k\} (f x) \{\lambda_. I (k\&x)\}) \Rightarrow \{I \text{nil}\} (\text{iter } f l) \{\lambda_. I l\}$$

$$(\forall \dots \{\dots\} (f x) \{\lambda_. \dots\}) \Rightarrow \{I' l\} (\text{iter } f l) \{\lambda_. I' \text{nil}\}$$

Exercise:

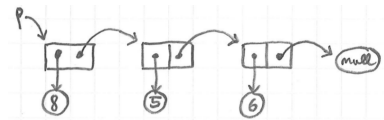
- specify `iter` using an invariant that depends on the list of items remaining to process, instead of on the list of items already processed.
- prove the old specification derivable from the new one,
- prove the new specification derivable from the old (most general) one.

$$(\forall xs. \{I' (x :: s)\} (f x) \{\lambda_. I' s\}) \Rightarrow \{I' l\} (\text{iter } f l) \{\lambda_. I' \text{nil}\}$$

$$I k \equiv \exists s. \ulcorner l = k \uparrow\uparrow s \urcorner * I' s$$

$$I' s \equiv \exists k. \ulcorner l = k \uparrow\uparrow s \urcorner * I k$$

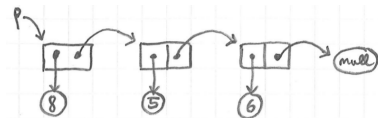
Iterating over a mutable list



```
let rec miter f p =  
  if p == null  
  then ()  
  else (f p.hd; miter f p.tl)
```

Iterating over a mutable list

$$\begin{aligned} \forall f l I. & \quad (\forall x k. \{I k\} (f x) \{\lambda_. I (k \& x)\}) \\ \Rightarrow & \quad \{I \text{nil}\} (\text{iter } f l) \{\lambda_. I l\} \end{aligned}$$



Specification:

$$\begin{aligned} \forall f p I l. & \quad (\forall x k. \{I k\} (f x) \{\lambda_. I (k \& x)\}) \\ \Rightarrow & \quad \{p \rightsquigarrow \text{MList } l * I \text{nil}\} (\text{miter } f p) \{\lambda_. p \rightsquigarrow \text{MList } l * I l\} \end{aligned}$$

Remark: calls to f will not modify the structure of the list while iterating.

Summary

Simplified:

$$\begin{aligned} & (\forall xk. \{I k\} (f x) \{\lambda_. I (k\&x)\}) \\ \Rightarrow & \{I \text{ nil}\} (\text{iter } f l) \{\lambda_. I l\} \end{aligned}$$

Order-irrelevant:

$$\begin{aligned} & (\forall xk. x \in l \Rightarrow \{I k\} (f x) \{\lambda_. I (k\&x)\}) \\ \Rightarrow & \{I \text{ nil}\} (\text{iter } f l) \{\lambda_. I l\} \end{aligned}$$

Most-general:

$$\begin{aligned} & (\forall xks. l = k ++ x :: s \Rightarrow \{I k\} (f x) \{\lambda_. I (k\&x)\}) \\ \Rightarrow & \{I \text{ nil}\} (\text{iter } f l) \{\lambda_. I l\} \end{aligned}$$

Using remaining items (already most general):

$$(\forall xs. \{I' (x :: s)\} (f x) \{\lambda_. I' s\}) \Rightarrow \{I' l\} (\text{iter } f l) \{\lambda_. I' \text{ nil}\}$$

Extension to mutable lists:

$$\begin{aligned} & (\forall xks. l = k ++ x :: s \Rightarrow \{I k\} (f x) \{\lambda_. I (k\&x)\}) \\ \Rightarrow & \{p \rightsquigarrow \text{MList } l * I \text{ nil}\} (\text{miter } f p) \{\lambda_. p \rightsquigarrow \text{MList } l * I l\} \end{aligned}$$

Break?

Chapter 16

Other classic higher-order functions

Fold-left

```
let rec fold_left f a l =  
  match l with  
  | [] -> a  
  | x::k -> fold_left f (f a x) k
```

Example:

$$\text{fold_left } f a [6 :: 4 :: 7] = f (f (f a 6) 4) 7$$

Fold-left

```
let rec fold_left f a l =  
  match l with  
  | [] -> a  
  | x::k -> fold_left f (f a x) k
```

Example:

$$\text{fold_left } f a [6 :: 4 :: 7] = f (f (f a 6) 4) 7$$

Specification:

$$\forall f a l J. \quad (\forall x i k. \{J i k\} (f i x) \{\lambda j. J j (k \& x)\}) \\ \Rightarrow \{J a \text{ nil}\} (\text{fold_left } f a l) \{\lambda b. J b l\}$$

Application of fold-left

$$\begin{aligned} \forall fal J. \quad & (\forall x i k. \{J i k\} (f i x) \{\lambda j. J j (k \& x)\}) \\ \Rightarrow & \{J a \text{ nil}\} (\text{fold_left } f a l) \{\lambda b. J b l\} \end{aligned}$$

```
let r = ref 0
let count_and_sum l =
  fold_left (fun a x -> incr r; a+x) 0 l
```

Exercise: give the instantiation of the invariant J in the code above.

Application of fold-left

$$\begin{aligned} \forall f a l J. \quad & (\forall x i k. \{J i k\} (f i x) \{\lambda j. J j (k \& x)\}) \\ \Rightarrow & \{J a \text{ nil}\} (\text{fold_left } f a l) \{\lambda b. J b l\} \end{aligned}$$

```
let r = ref 0
let count_and_sum l =
  fold_left (fun a x -> incr r; a+x) 0 l
```

Exercise: give the instantiation of the invariant J in the code above.

$$J i k \equiv (r \mapsto |k|) * \lceil i = \text{Sum } k \rceil$$

where $\text{Sum } k \equiv \text{Fold } (+) 0 k$.

Fold-right

```
let rec fold_right f l a =  
  match l with  
  | [] -> a  
  | x::k -> f x (fold_right f k a)
```

Example:

$$\text{fold_right } f [6 :: 4 :: 7] a \equiv f 6 (f 4 (f 7 a))$$

Exercise: give a specification for `fold_right`.

Fold-right

```
let rec fold_right f l a =  
  match l with  
  | [] -> a  
  | x::k -> f x (fold_right f k a)
```

Example:

$$\text{fold_right } f [6 :: 4 :: 7] a \equiv f 6 (f 4 (f 7 a))$$

Exercise: give a specification for `fold_right`.

$$\begin{aligned} \forall f l a J. & \quad (\forall x i k. \{J i k\} (f x i) \{\lambda j. J j (x :: k)\}) \\ & \Rightarrow \{J a \text{ nil}\} (\text{fold_right } f l a) \{\lambda b. J b l\} \end{aligned}$$

Map: simple specification for pure functions

```
let rec map f l =  
  match l with  
  | [] -> []  
  | x::k -> (f x)::(map f k)
```

Simple specification, for the case where f is pure:

$$\forall f l P. \quad (\forall x. \{ \ulcorner \urcorner \} (f x) \{ \lambda x'. \ulcorner P x x' \urcorner \}) \\ \Rightarrow \{ \ulcorner \urcorner \} (\text{map } f l) \{ \lambda l'. \ulcorner \text{Forall2 } P l l' \urcorner \}$$

where:

$$\frac{}{\text{Forall2 } P \text{ nil nil}} \qquad \frac{P x x' \quad \text{Forall2 } P l l'}{\text{Forall2 } P (x :: l) (x' :: l')}$$

Map: general specification

Specification of map:

$$\begin{aligned} \forall f l P. \quad & (\forall x. \{ \ulcorner \urcorner \} (f x) \{ \lambda x'. \ulcorner P x x' \urcorner \}) \\ \Rightarrow \quad & \{ \ulcorner \urcorner \} (\text{map } f l) \{ \lambda l'. \ulcorner \text{Forall2 } P l l' \urcorner \} \end{aligned}$$

Specification of iter:

$$\begin{aligned} \forall f l I. \quad & (\forall x k. \{ I k \} (f x) \{ \lambda_. I (k \& x) \}) \\ \Rightarrow \quad & \{ I \text{nil} \} (\text{iter } f l) \{ \lambda_. I l \} \end{aligned}$$

Map: general specification

Specification of map:

$$\begin{aligned} \forall f l P. & \quad (\forall x. \{ \ulcorner \urcorner \} (f x) \{ \lambda x'. \ulcorner P x x' \urcorner \}) \\ \Rightarrow & \quad \{ \ulcorner \urcorner \} (\text{map } f l) \{ \lambda l'. \ulcorner \text{Forall2 } P l l' \urcorner \} \end{aligned}$$

Specification of iter:

$$\begin{aligned} \forall f l I. & \quad (\forall x k. \{ I k \} (f x) \{ \lambda_. I (k \& x) \}) \\ \Rightarrow & \quad \{ I \text{ nil} \} (\text{iter } f l) \{ \lambda_. I l \} \end{aligned}$$

Combining the two:

$$\begin{aligned} \forall f l P I. & \quad (\forall x k. \{ I k \} (f x) \{ \lambda x'. \ulcorner P x x' \urcorner * I (k \& x) \}) \\ \Rightarrow & \quad \{ I \text{ nil} \} (\text{map } f l) \{ \lambda l'. \ulcorner \text{Forall2 } P l l' \urcorner * I l \} \end{aligned}$$

Map: general specification, alternative

$$\begin{aligned} \forall f l P I. \quad & (\forall x k. \{I k\} (f x) \{\lambda x'. \text{'Forall2 } P x x'\} * J (k \& x)\}) \\ \Rightarrow & \{I \text{ nil}\} (\text{map } f l) \{\lambda l'. \text{'Forall2 } P l l'\} * I l \} \end{aligned}$$

Alternative specification:

$$\begin{aligned} \forall f l J'. \quad & (\forall x k k'. \{J' k k'\} (f x) \{\lambda x'. J' (k \& x) (k' \& x')\}) \\ \Rightarrow & \{J' \text{ nil nil}\} (\text{map } f l) \{\lambda l'. J' l l'\} \end{aligned}$$

Previous specification derivable from the above one:

$$J' k k' \equiv \text{'Forall2 } P k k'\} * I k$$

Sorting with comparison function

Example:

```
List.sort (fun x y -> x - y) [2;4;5;3;2;9]
```

Specification:

$$\begin{aligned} & \forall f l. \forall (\leq). \\ & \quad \text{total-order } (\leq) \\ & \quad \wedge (\forall xy. \{ \ulcorner \urcorner \} (f x y) \{ \lambda n. \ulcorner n \leq 0 \Leftrightarrow x \leq y \urcorner \}) \\ & \Rightarrow \{ \ulcorner \urcorner \} (\text{sort } f l) \{ \lambda l'. \ulcorner \text{permut } l l' \wedge \text{sorted } (\leq) l' \urcorner \} \end{aligned}$$

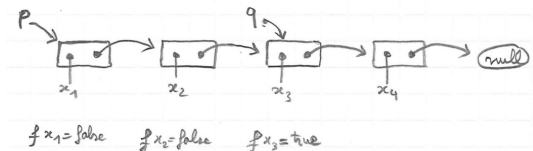
Find with a boolean predicate, on pure lists

```
let rec find f l =  
  match l with  
  | [] -> None  
  | x::k -> if f x  
              then Some x  
              else find f k
```

Specification:

$$\begin{aligned} \forall f l P. \quad & (\forall x. \{ \ulcorner \ \urcorner \} (f x) \{ \lambda b. \ulcorner b = \text{true} \Leftrightarrow P x \urcorner \}) \\ \Rightarrow \quad & \{ \ulcorner \ \urcorner \} (\text{find } f l) \{ \lambda o. \ulcorner \text{match } o \text{ with} \\ & \quad | \text{None} \Rightarrow \text{Forall } (\neg P) l \\ & \quad | \text{Some } x \Rightarrow \exists kt. l = k \# x :: t \\ & \quad \wedge \text{Forall } (\neg P) k \wedge P x \urcorner \} \end{aligned}$$

Find with a boolean predicate, on mutable lists

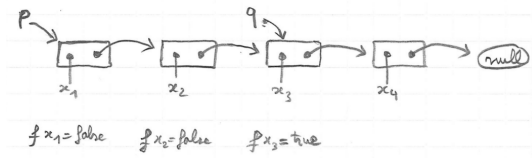


Specification:

$$\forall f p l P. (\forall x. \{ \ulcorner \urcorner \} (f x) \{ \lambda b. \ulcorner b = \text{true} \Leftrightarrow P x \urcorner \})$$

$$\Rightarrow \{ p \rightsquigarrow \text{MList } l \}$$
$$(\text{mfind } f p)$$
$$\{ \lambda o. \}$$

Find with a boolean predicate, on mutable lists



Specification:

$$\forall f p l P. (\forall x. \{ \ulcorner \ \urcorner \} (f x) \{ \lambda b. \ulcorner b = true \Leftrightarrow P x \urcorner \})$$

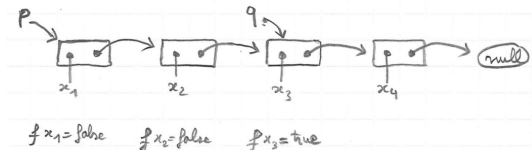
$$\Rightarrow \{ p \rightsquigarrow \text{MList } l \}$$

$$(\text{mfind } f p)$$

$$\{ \lambda o. \text{match } o \text{ with}$$

$$| \text{None} \Rightarrow p \rightsquigarrow \text{MList } l * \ulcorner \text{Forall } (\neg P) l \urcorner$$

Find with a boolean predicate, on mutable lists



Specification:

$$\forall f p l P. (\forall x. \{ ' \} (f x) \{ \lambda b. 'b = \text{true} \Leftrightarrow P x' \})$$

$$\Rightarrow \{ p \rightsquigarrow \text{MList } l \}$$

$$(\text{mfind } f p)$$

$$\{ \lambda o. \text{match } o \text{ with}$$

$$| \text{None} \Rightarrow p \rightsquigarrow \text{MList } l * ' \text{Forall } (\neg P) l'$$

$$| \text{Some } q \Rightarrow \exists kt. p \rightsquigarrow \text{MlistSeg } q k * q \rightsquigarrow \text{MList } (x :: t) * 'l = k ++ x :: t \wedge \text{Forall } (\neg P) k \wedge P x'$$

Summary

$$\begin{aligned} & (\forall x k. \{I k\} (f x) \{\lambda_. I (k \& x)\}) \\ \Rightarrow & \{I \text{ nil}\} (\text{iter } f l) \{\lambda_. I l\} \end{aligned}$$

$$\begin{aligned} & (\forall x i k. \{J i k\} (f i x) \{\lambda j. J j (k \& x)\}) \\ \Rightarrow & \{J a \text{ nil}\} (\text{fold } f a l) \{\lambda b. J b l\} \end{aligned}$$

$$\begin{aligned} & (\forall x k k'. \{J k k'\} (f x) \{\lambda x'. J (k \& x) (k' \& x')\}) \\ \Rightarrow & \{J \text{ nil nil}\} (\text{map } f l) \{\lambda l'. J l l'\} \end{aligned}$$

- Add the hypothesis $l = k ++ x :: s$ if the position of x matters.
- Boolean predicates: $\forall x. \{\ulcorner \urcorner\} (f x) \{\lambda b. \ulcorner b = \text{true} \Leftrightarrow P x \urcorner\}$.
- Order functions: $\forall x y. \{\ulcorner \urcorner\} (f x y) \{\lambda n. \ulcorner n \leq 0 \Leftrightarrow x \leq y \urcorner\}$.

Chapter 17

Higher-order representation predicates

Overview

- 1 Higher-order predicate:

$p \rightsquigarrow \text{MList } L$ is generalized into $p \rightsquigarrow \text{Mlistof } R L$

- 2 Identity representation predicate:

$p \rightsquigarrow \text{Mlistof Id } L$ is the same as $p \rightsquigarrow \text{MList } L$

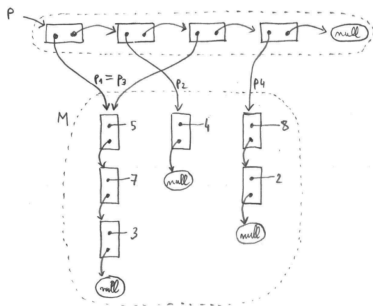
- 3 Control accesses:

$\{p \rightsquigarrow \text{MCellof Id } v_1 R_2 V_2\} (p.\text{hd}) \{\lambda x. \ulcorner x = v_1 \urcorner * \dots\}$

- 4 Compose recursively:

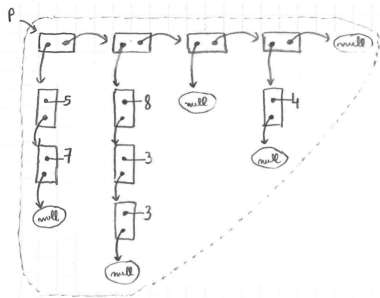
$p \rightsquigarrow \text{Nodeof } R X (\text{Mlistof } (\text{Narytreeof } R)) L$

Mutable list of possibly-aliased lists



$$p \rightsquigarrow \text{MList } K * \left(\begin{array}{c} \textcircled{*} \\ (p_i, L_i) \in M \end{array} p_i \rightsquigarrow \text{MList } L_i \right) * \ulcorner \forall p_i \in K. p_i \in \text{dom } M \urcorner$$

Mutable list of disjoint mutable lists

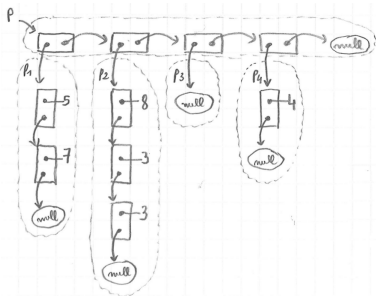


$$L = (5::7::\text{nil})::(8::3::3::\text{nil}) \\ ::(\text{nil})::(4::\text{nil})::\text{nil}$$

$$p \rightsquigarrow \text{MlistofMlist } L$$

(to be later generalized into: $p \rightsquigarrow \text{Mlistof } R L$)

Representation using iterated star



$$L = (5 :: 7 :: \text{nil}) :: (8 :: 3 :: 3 :: \text{nil}) \\ :: (\text{nil}) :: (4 :: \text{nil}) :: \text{nil}$$

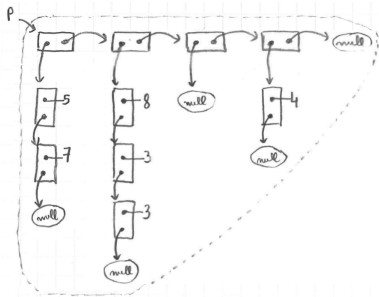
$$K = p_1 :: p_2 :: p_3 :: p_4 :: \text{nil}$$

$$p \rightsquigarrow \text{MlistofMlist } L \quad \equiv \quad \exists K. \quad p \rightsquigarrow \text{MList } K$$

$$* \quad \bigotimes_{i \in [0, |L|)} (K[i]) \rightsquigarrow \text{MList } (L[i])$$

$$* \quad \lceil |K| = |L| \rceil$$

Representation using a recursive predicate



$$L = (5::7::\text{nil})::(8::3::3::\text{nil}) \\ ::(\text{nil})::(4::\text{nil})::\text{nil}$$

$p \rightsquigarrow \text{MlistofMlist } L \equiv \text{match } L \text{ with}$

| $\text{nil} \Rightarrow \text{'p = null'}$

| $X :: L' \Rightarrow \exists xp'. p \rightsquigarrow \{\text{hd}=x; \text{tl}=p'\}$

* $p' \rightsquigarrow \text{MlistofMlist } L'$

* $x \rightsquigarrow \text{MList } X$

Generalization to a higher-order predicate

$$\begin{aligned} p \rightsquigarrow \text{MlistofMlist } L &\equiv \text{match } L \text{ with} \\ &| \text{nil} \Rightarrow \text{'}p = \text{null'} \\ &| X :: L' \Rightarrow \exists x p'. \quad p \rightsquigarrow \{\text{hd}=x; \text{tl}=p'\} \\ &\quad * p' \rightsquigarrow \text{MlistofMlist } L' \\ &\quad * x \rightsquigarrow \text{MList } X \end{aligned}$$

Generalization:

$$\begin{aligned} p \rightsquigarrow \text{Mlistof } R L &\equiv \text{match } L \text{ with} \\ &| \text{nil} \Rightarrow \text{'}p = \text{null'} \\ &| X :: L' \Rightarrow \exists x p'. \quad p \rightsquigarrow \{\text{hd}=x; \text{tl}=p'\} \\ &\quad * p' \rightsquigarrow \text{Mlistof } R L' \\ &\quad * x \rightsquigarrow R X \end{aligned}$$

In particular:

$$p \rightsquigarrow \text{MlistofMlist } L = p \rightsquigarrow \text{Mlistof MList } L$$

Type-checking

$p \rightsquigarrow \text{Mlistof } R L$ is a notation for $\text{Mlistof } R L p$ (of type Hprop)
 $x \rightsquigarrow R X$ is a notation for $R X x$ (of type Hprop)

$p \rightsquigarrow \text{Mlistof } R L \equiv \text{match } L \text{ with}$
| $\text{nil} \Rightarrow \ulcorner p = \text{null} \urcorner$
| $X :: L' \Rightarrow \exists x p'. \quad p \rightsquigarrow \{\text{hd}=x; \text{tl}=p'\}$
* $p' \rightsquigarrow \text{Mlistof } R L'$
* $x \rightsquigarrow R X$

Exercise: since $(p : \text{loc})$ and $(x : \text{Val})$ and $(X : A)$ for some A , what is the type of R ? What is the type of Mlistof ?

Type-checking

$p \rightsquigarrow \text{Mlistof } R L$ is a notation for $\text{Mlistof } R L p$ (of type Hprop)
 $x \rightsquigarrow R X$ is a notation for $R X x$ (of type Hprop)

$p \rightsquigarrow \text{Mlistof } R L \equiv \text{match } L \text{ with}$
| $\text{nil} \Rightarrow \text{'}p = \text{null'}$
| $X :: L' \Rightarrow \exists x p'. \quad p \rightsquigarrow \{\text{hd}=x; \text{tl}=p'\}$
* $p' \rightsquigarrow \text{Mlistof } R L'$
* $x \rightsquigarrow R X$

Exercise: since $(p : \text{loc})$ and $(x : \text{Val})$ and $(X : A)$ for some A , what is the type of R ? What is the type of Mlistof ?

- $R : A \rightarrow \text{Val} \rightarrow \text{Hprop}$
- $\text{Mlistof} : \forall A. (A \rightarrow \text{Val} \rightarrow \text{Hprop}) \rightarrow \text{list } A \rightarrow \text{loc} \rightarrow \text{Hprop}$

The identity representation predicate

$p \rightsquigarrow \text{Mlistof } R L \equiv \text{match } L \text{ with}$
| $\text{nil} \Rightarrow \ulcorner p = \text{null} \urcorner$
| $X :: L' \Rightarrow \exists x p'. \quad p \rightsquigarrow \{\text{hd}=x; \text{tl}=p'\}$
* $p' \rightsquigarrow \text{Mlistof } R L'$
* $x \rightsquigarrow R X$

$p \rightsquigarrow \text{MList } L \equiv \text{match } L \text{ with}$
| $\text{nil} \Rightarrow \ulcorner p = \text{null} \urcorner$
| $x :: L' \Rightarrow \exists p'. \quad p \rightsquigarrow \{\text{hd}=x; \text{tl}=p'\}$
* $p' \rightsquigarrow \text{MList } L'$

Exercise: define the identity representation predicate Id such that

$$p \rightsquigarrow \text{Mlistof Id } L = p \rightsquigarrow \text{MList } L$$

The identity representation predicate

$$\begin{aligned} p \rightsquigarrow \text{Mlistof } R L &\equiv \text{match } L \text{ with} \\ &| \text{nil} \Rightarrow \ulcorner p = \text{null} \urcorner \\ &| X :: L' \Rightarrow \exists x p'. \quad p \rightsquigarrow \{\text{hd}=x; \text{tl}=p'\} \\ &\quad * p' \rightsquigarrow \text{Mlistof } R L' \\ &\quad * x \rightsquigarrow R X \end{aligned}$$

$$\begin{aligned} p \rightsquigarrow \text{MList } L &\equiv \text{match } L \text{ with} \\ &| \text{nil} \Rightarrow \ulcorner p = \text{null} \urcorner \\ &| x :: L' \Rightarrow \exists p'. \quad p \rightsquigarrow \{\text{hd}=x; \text{tl}=p'\} \\ &\quad * p' \rightsquigarrow \text{MList } L' \end{aligned}$$

Exercise: define the identity representation predicate Id such that

$$p \rightsquigarrow \text{Mlistof Id } L = p \rightsquigarrow \text{MList } L$$

Definition:

$$x \rightsquigarrow \text{Id } X \equiv \ulcorner x = X \urcorner$$

Summary

- 1 Higher-order predicate:

$p \rightsquigarrow \text{MList } L$ is generalized into $p \rightsquigarrow \text{Mlistof } R L$

- 2 Identity representation predicate:

$p \rightsquigarrow \text{MlistofId } L$ is the same as $p \rightsquigarrow \text{MList } L$

Chapter 18

Separating implication

Separating implication or “magic wand”

Recalling separating conjunction:

$$(P_1 * P_2)h \equiv \exists h_1, h_2. h = h_1 \uplus h_2 \wedge P_1 h_1 \wedge P_2 h_2$$

Introducing *separating implication*:

$$(P \multimap Q)h \equiv \forall h_1. h \perp h_1 \wedge P h_1 \Rightarrow Q(h \uplus h_1)$$

Intuition:

$$(P \multimap Q) * P \triangleright Q$$

Rules:

$$\frac{R * P \vdash Q}{R \vdash (P \multimap Q)} \qquad \frac{R_1 \vdash (P \multimap Q) \quad R_2 \vdash P}{R_1 * R_2 \vdash Q}$$

Separating implication examples

Exercise: Give heaps satisfying the following predicates:

- 1 $\top \multimap (1 \mapsto 2)$
- 2 $\text{False} \multimap (1 \mapsto 2)$
- 3 $x \geq 1 \multimap x \geq 0$
- 4 $(1 \mapsto 4) \multimap (1 \mapsto 4) * (2 \mapsto 3)$
- 5 $(1 \mapsto 2) \multimap (1 \mapsto 2)$
- 6 $(1 \mapsto 2) \multimap \text{False}$
- 7 $(1 \mapsto 2) \multimap \top$
- 8 $(1 \mapsto 2) \multimap (1 \mapsto 3)$

Separating implication examples

Exercise: Among the following heap entailments, which hold?

- 1 $P \triangleright (Q \text{ -* } P \text{ * } Q)$
- 2 $(Q \text{ -* } P \text{ * } Q) \triangleright P$
- 3 $(1 \mapsto 2) \text{ -* } (1 \mapsto 3) \triangleright \text{'False'}$
- 4 $(1 \mapsto 2) \text{ -* } (1 \mapsto 2 \text{ * } 2 \mapsto 8) \triangleright 2 \mapsto 8$
- 5 $\text{'\top'} \text{ -* } P \triangleright P$
- 6 $P \triangleright \text{'\top'} \text{ -* } P$
- 7 $\text{'\top'} \triangleright (P \text{ -* } Q \text{ -* } P \text{ * } Q)$
- 8 $\text{'}P \triangleright Q\text{' } \triangleright (P \text{ -* } Q)$
- 9 $(P \text{ -* } Q) \triangleright \text{'}P \triangleright Q\text{'}$